

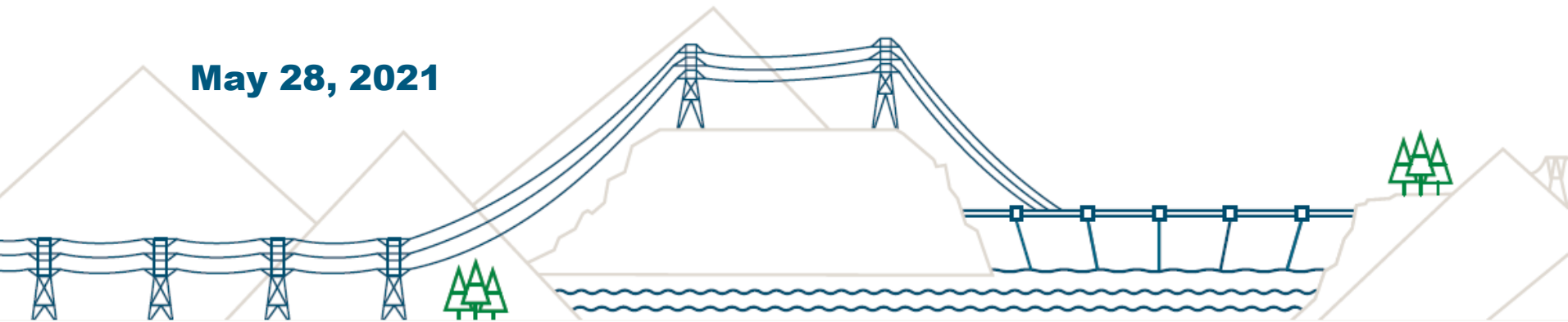
# **Reliability-based dynamic analyses for seismic design optimization in British Columbia**

**by G. Wu, Ph.D., P. Eng.**

**BC Hydro & Wutec Geotechnical International**

**A Presentation on 27th Vancouver Geotechnical Society Symposium  
on Risk and Liability, BC, Canada**

**May 28, 2021**

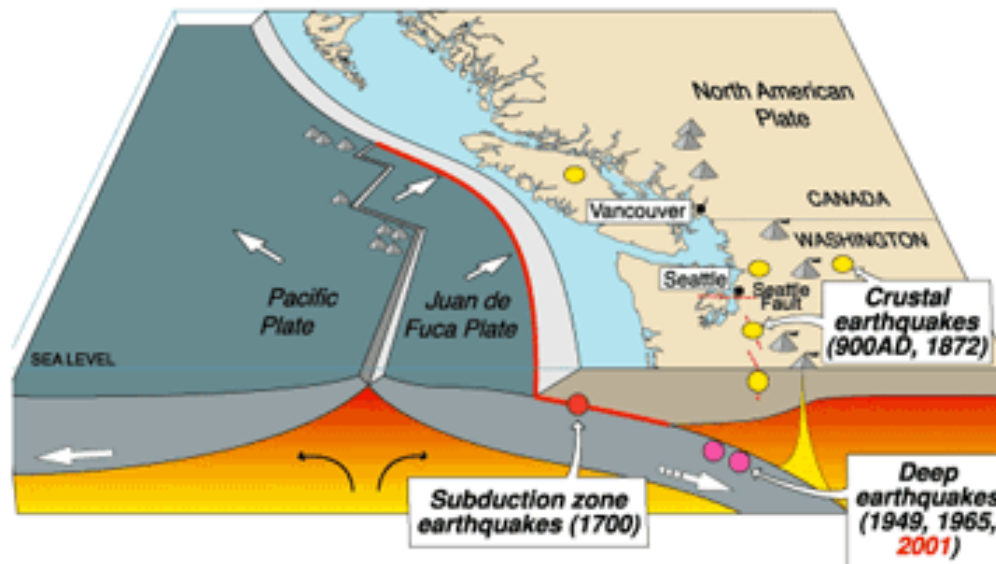


# Earthquakes in Southwest BC:

Two earthquake sources with large difference in magnitude:

- M~9 for subduction Interface
- M~7 for Crustal and subduction Intra Slab (InSlab)

**Cascadia earthquake sources**



# Outline

## Reliability-based dynamic analyses for seismic design optimization in British Columbia

1. **GSC (2015) 5<sup>th</sup> Generation Seismic Hazard Model for Probability Seismic Hazard Analysis (PSHA)**
  - Seismic slope displacements from empirical equations for M9 and M7 earthquakes
2. **Seismic Site Response and Liquefaction Analyses for a Soil Profile at Roberts Bank Port: PSPA Method**
3. **Reliability Based Soil Liquefaction Analyses Of the Soil Profile**
4. **Conclusion Remarks**

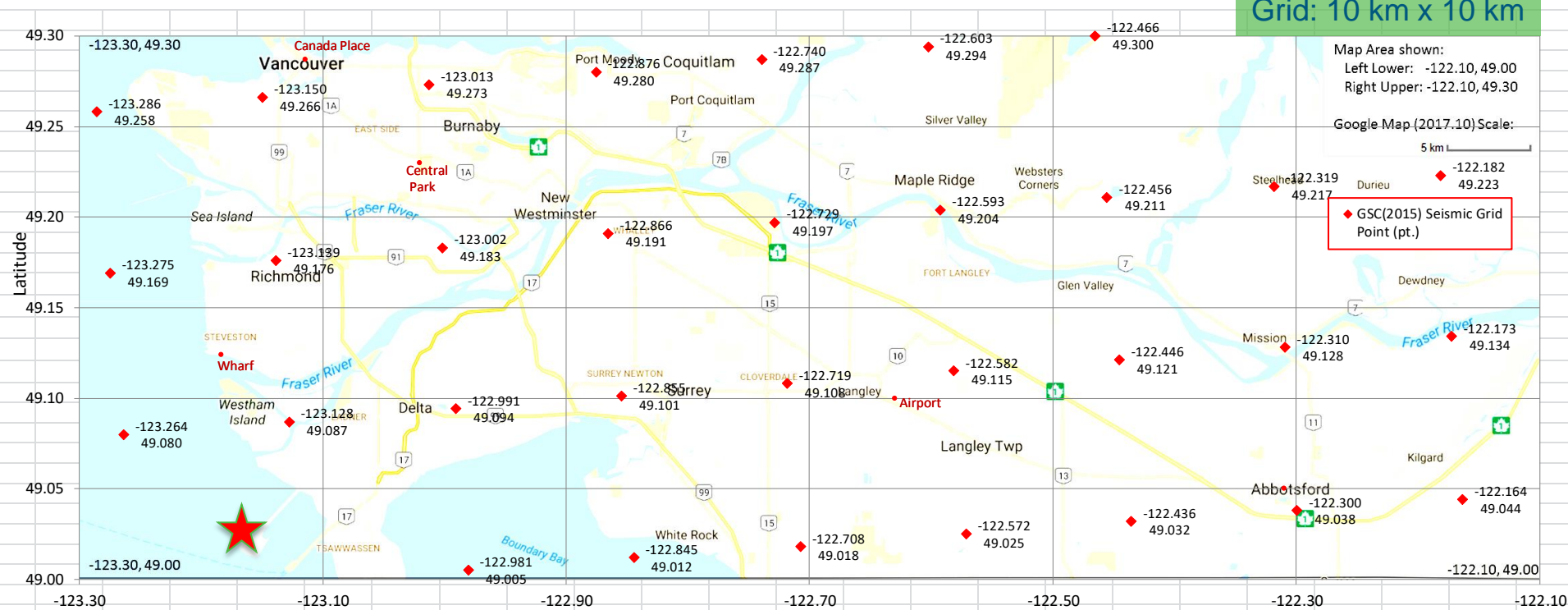
### Reference:

- Wu, G. 2017. Probability approach to GSC 2015 seismic hazard including crustal and subduction earthquake sources, VGS presentation in November 2017. <http://v-g-s.ca/20172018-lecture-series>
- Wu G. 2018 Probabilistic Approach to Design of Seismic Upgrade to Withstand both Crustal and Subduction Earthquake Sources, 2018 VGS Symposium <http://v-g-s.ca/2018-proceedings>

# GSC (2015) fifth generation seismic hazard model: (Open File 8090 with 13148 pts)

## Seismic Grid Points in the Lower Mainland: Greater Vancouver Region

Grid: 10 km x 10 km

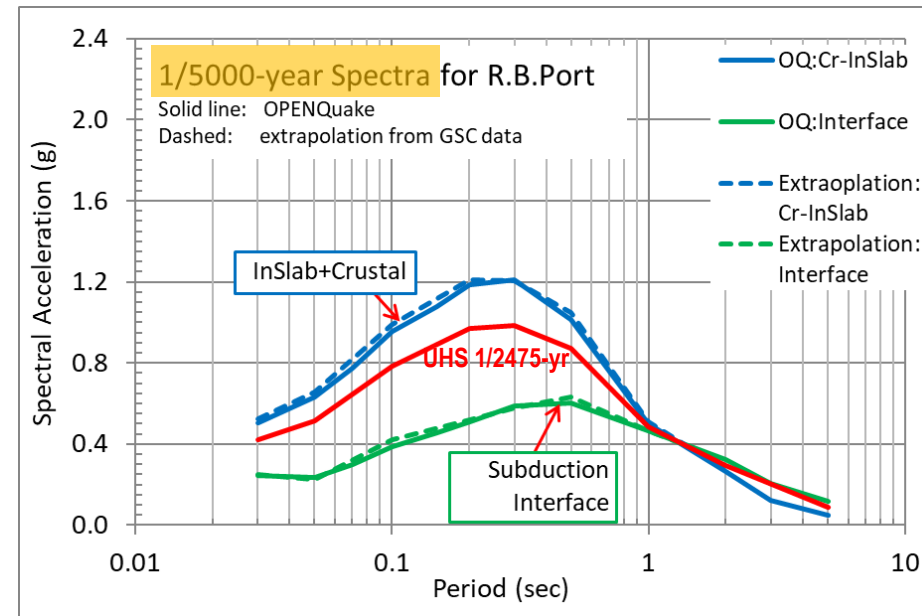
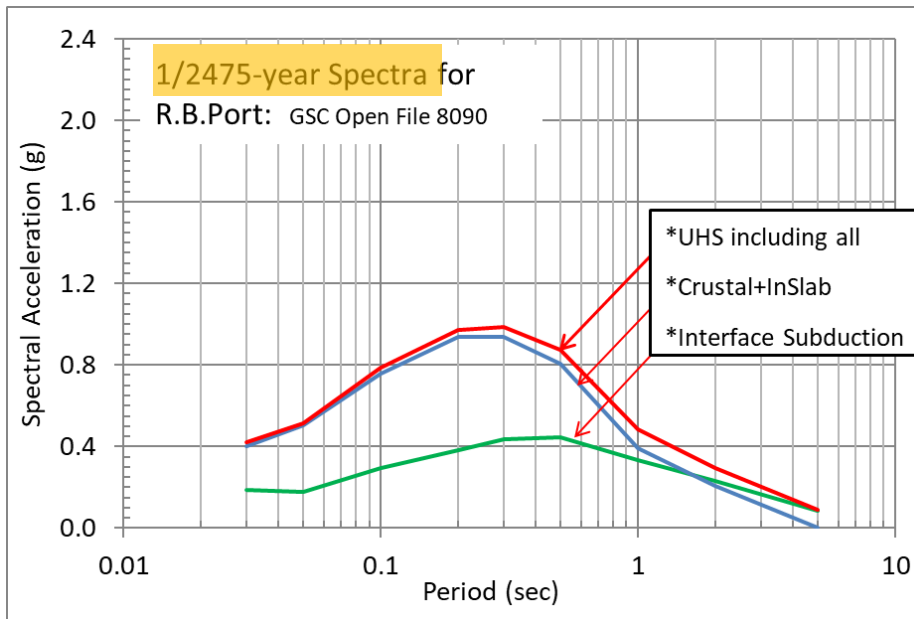


Roberts Bank Port, GSC Borehole  
 FD95-S1 (150 m deep)

# Spectra for 1/5000-yr Level (1%/50 years) and 10,000-yr when required, extrapolation vs. OpenQuake

GSC Grid pt. 34101 near the Roberts Bank Port

- Spectra for Subduction Interface (M9) - Green
- Spectra for Non-Interface (i.e., Crustal + InSlab) –Blue
- Total combined all source Uniform Hazard spectra (UHS) – Red



# PSPA Approach:

## Probabilistic Seismic Performance Analyses

For example, to determine seismic displacement of a slope, say at 1/2475-yr level:

- Do not use all-source UHS 1/2475-yr: there is no applicable equations
- Use Mecedo et al (2017) Equation for ~M9 Interface at 1/2475-yr & 1/5000-yr

- **D (cm)<sub>~M9</sub>** for  $T_s > 0.05$  s 
$$\text{Ln}(D) = -6.97 - 3.045\text{Ln}(k_y) - 0.328(\text{Ln}(k_y))^2 + 0.448\text{Ln}(k_y)\text{Ln}(S_a(1.5T_s)) + 2.605\text{Ln}(S_a(1.5T_s)) - 0.233(\text{Ln}(S_a(1.5T_s)))^2 + 1.407T_s + 0.643M \pm \varepsilon$$
 (4)

- Use Bray and Mecedo (2019) updated from 2007 for ~M7 non-Interface earthquakes:

- **D (cm)<sub>~M7</sub>** (a1, a2, a3 are constants): 
$$\begin{aligned} \text{Ln}(D) = & a1 - 2.482\text{Ln}(k_y) - 0.244(\text{Ln}(k_y))^2 \\ & + 0.344\text{Ln}(k_y)\text{Ln}(S_a(1.3T_s)) + 2.649\text{Ln}(S_a(1.3T_s)) \\ & - 0.090(\text{Ln}(S_a(1.3T_s)))^2 + a2T_s + a3(T_s)^2 \\ & + 0.603M_w \pm \varepsilon_1 \end{aligned}$$
 (3a)

- **D (cm)<sub>M9+M7</sub>** using the PSPA approach, i.e., **adding probability** for **D(cm)<sub>~M9</sub>** and for **D(cm)<sub>~M7</sub>**

- **D (cm)<sub>M9+M7</sub> = D(cm)<sub>~M9</sub> = D(cm)<sub>~M7</sub>**
- **Probability:  $P_{M9+M7} = P_{~M9} + P_{~M7}$**
- **For 1/2475-yr displacement: annual P = 1/2475 = 0.000404**

# Seismic Slope Displacements for a Probability of 2%/50 years

Legend:

- Red** - All source
- Green** - Interface ~M9
- Blue** - InSlab/Crustal ~M7

At 22.58 cm:

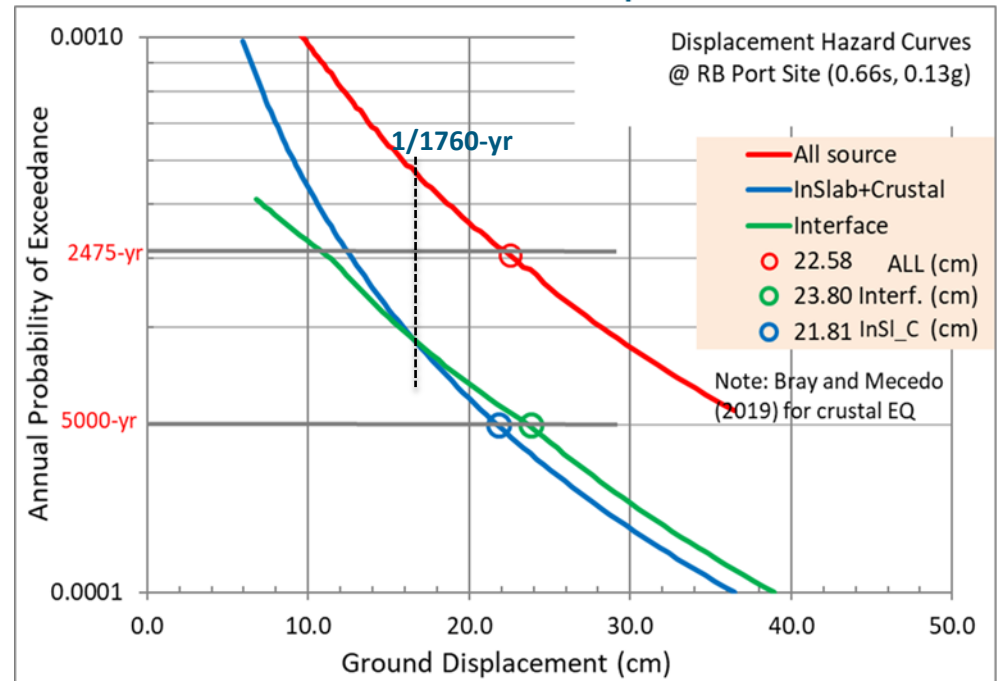
$$\begin{aligned}
 P_{red} &= P_{blue} + P_{green} \\
 &= 0.00019 + 0.00021 \\
 &= 0.000400
 \end{aligned}$$

Note: blue and green lines cross at:

$$P_{blue} = P_{green} = 0.000284$$

$$P_{red} = 0.000568$$

RB Port pt. No. 34101:  $T_s = 0.66$  s



## Observations:

- $D_{-M7} \approx D_{M9+M7} \approx D_{-M9}$

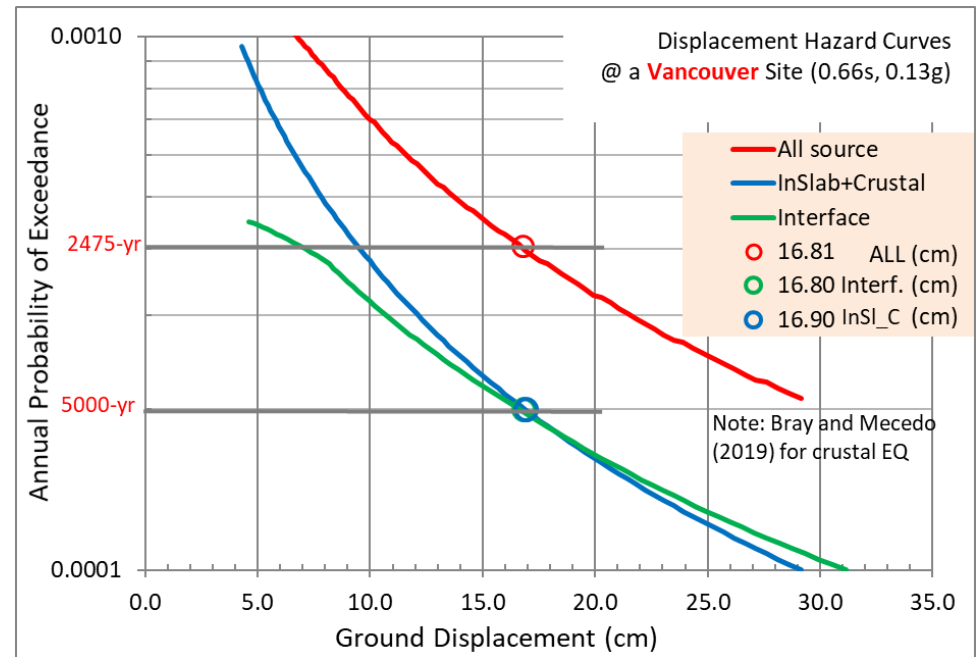
At  $D = 22.58$  cm

- $P_{-M7} \approx 0.5 * P_{M9+M7}$

- $P_{-M9} \approx 0.5 * P_{M9+M7}$

Note: For 1/1760-yr, “ $\approx$ ” becomes “=”

# Seismic Slope Displacements for a Probability of 2%/50 years



## Observations:

- $D(\text{cm})_{-M7} \approx D(\text{cm})_{M9+M7} \approx D(\text{cm})_{-M9}$

At  $D = 16.81 \text{ cm}$

- $P_{-M7} \approx 0.5 * P_{M9+M7}$

- $P_{-M9} \approx 0.5 * P_{M9+M7}$

For Vancouver site: “ $\approx$ ” becomes “=” at 1/2475-yr



# VERSAT dynamic analyses (1D & 2D) with elastic base

- VERSAT dynamic analyses (1D & 2D) with elastic base (or compliance base, or viscous base boundary) by applying Outcropping Velocity Time History (TH) as Input ground motion.
- Figure 8 the elastic base model with a viscous boundary in [VERSAT technical manual \(2019\)](#)

Surface outcropping motions on firm ground with  $V_{s30}$  of 360 - 760 m/s: Applicable for GSC (2015) seismic hazard values

Velocity time history,  $v_0(t)$ , at outcrop of base soil or rock, then

$$v_0 = 2v_I \quad \& \quad \tau_B = 0$$



$$v_b = v_I + v_R$$

$$\tau_B = \rho_b V_s (v_I - v_R), \text{ where}$$

$v_I$  = velocity of incident wave

$v_R$  = velocity of reflection wave

$v_b$  = velocity at the boundary

$\tau_B$  = shear stress at boundary

Overburden soils

at the boundary

$$\tau_B = \rho_b V_s (2v_I - v_b)$$

$$= \rho_b V_s (v_0 - v_b)$$

Base soil or rock  $\rho_b, V_s$

$v_0(t)$  is applied at the viscous boundary

Within motions at firm ground model base are different from the outcropping motions, likely lower.

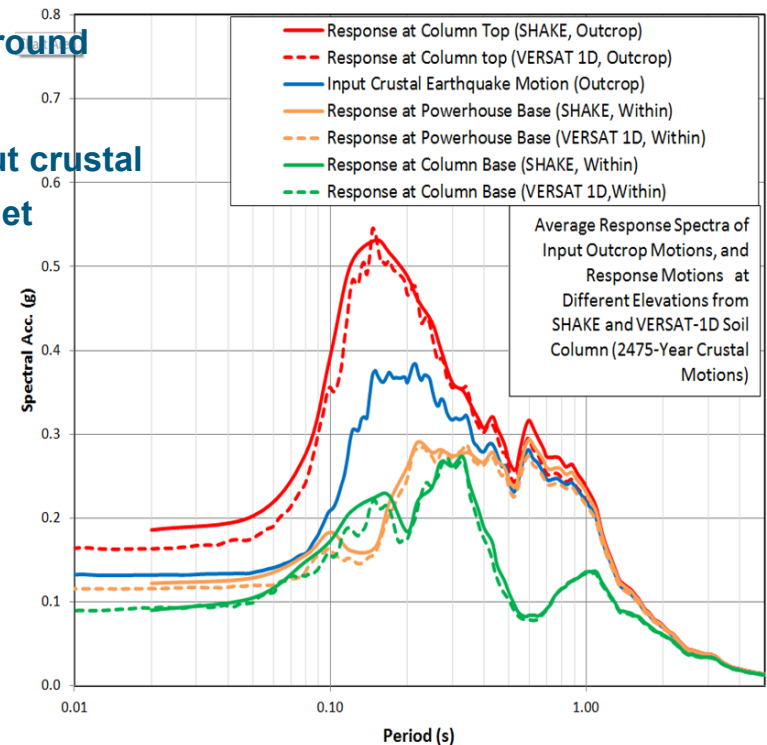
# VERSAT dynamic analyses (1D & 2D) with elastic base

## Comparison SHAKE & VERSAT Results:

- For ground motion response of site with an elastic base
- A site near Bridge River BC where firm ground was modelled at 50 m depth with  $V_s=450$  m/s
- Low-median level of earthquake shaking with firm-ground outcrop PGA of 0.14 g
- Spectra shown in the graph are average using 7 input crustal earthquake motions, linearly scaled to the same target spectrum.

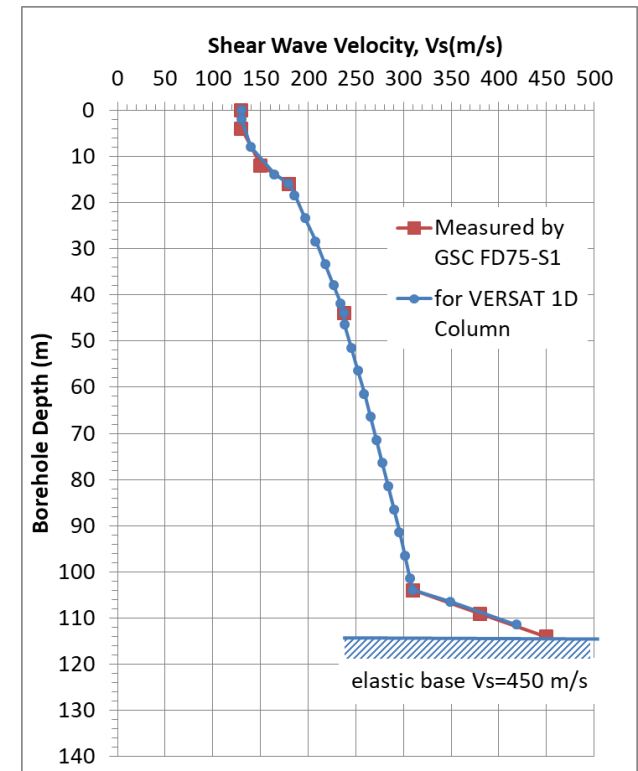
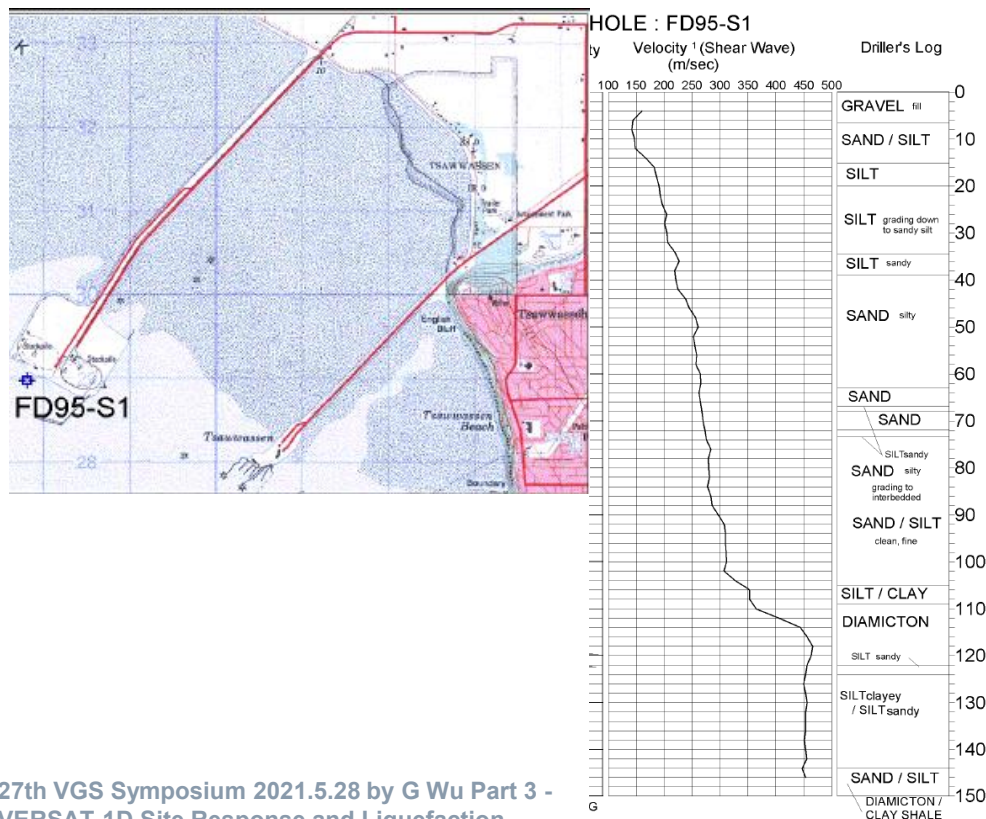
**Summary: Results from VERSAT and SHAKE agreed very well, for motions both at the base and at top of the 50 m thick soil column**

Reference: [VERSAT technical manual](#)



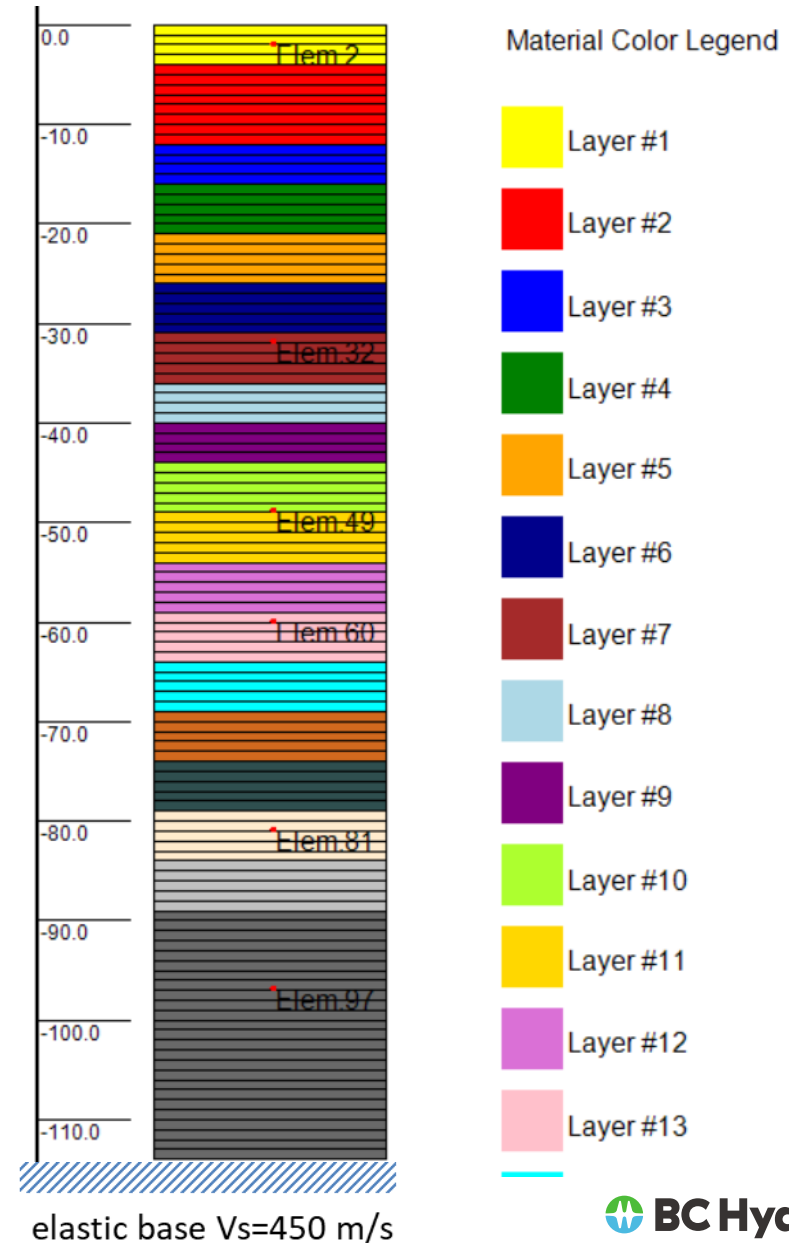
# Site Response Analyses: VERSAT 1D Soil Model

- Example location at Roberts Bank Port GSC Borehole FD95-S1 (150 m deep), near Grid Point No. 34101 (49.08 N; -123.264W). Shear wave velocity and soil stratigraphy at FD95-S1 were used.



# Site Response Analyses: VERSAT 1D Soil Model

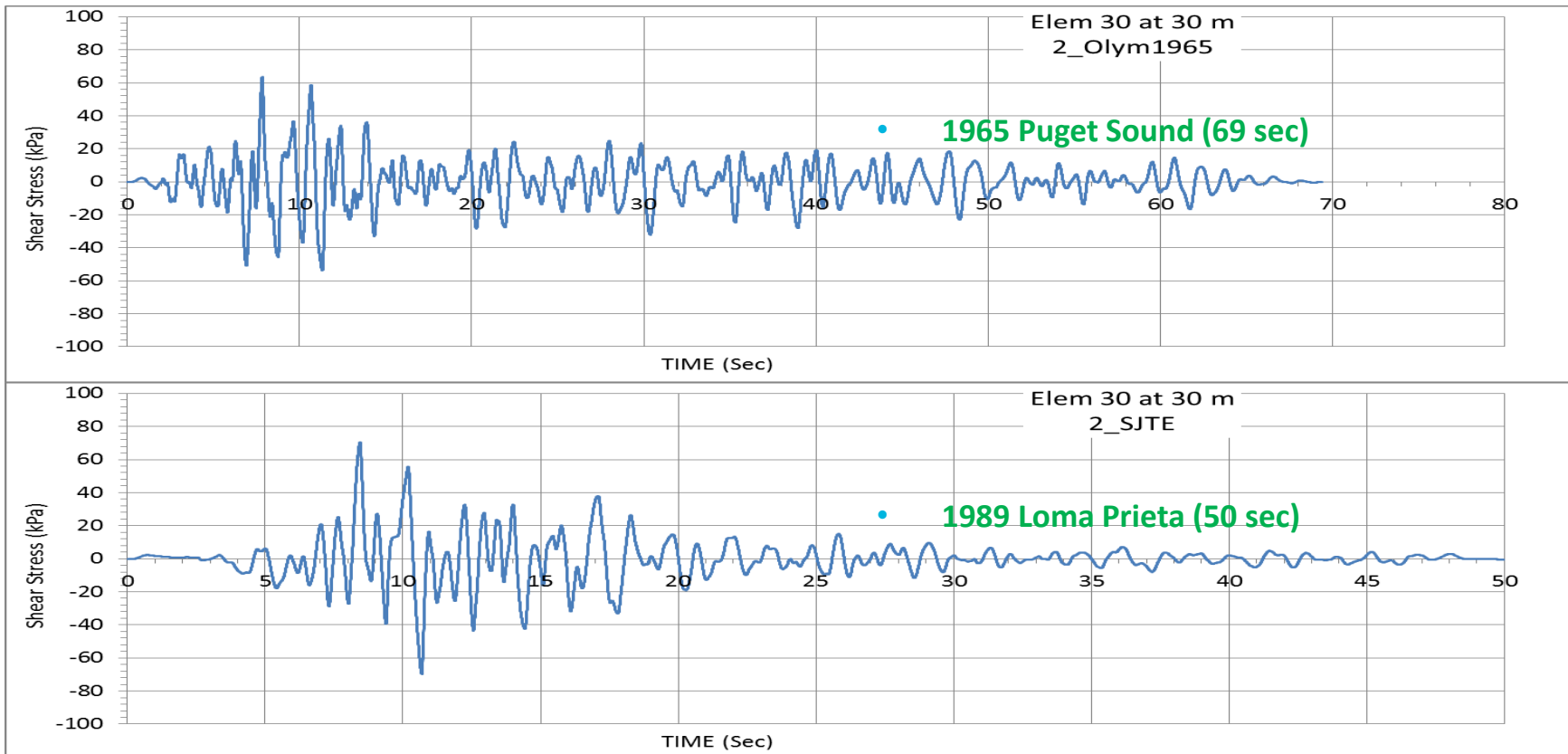
- Using nonlinear finite element time history analyses (VERSAT-1D, Wutec 2019)
- VERSAT 1D Soil Model: 23 layers used in the model for a total of 114 soil elements (1 m thick each); elastic base with  $V_s=450$  m/s; outcropping velocity TH applied to the model



# Site Response Analyses: VERSAT 1D Soil Model

## VERSAT 1D Results:

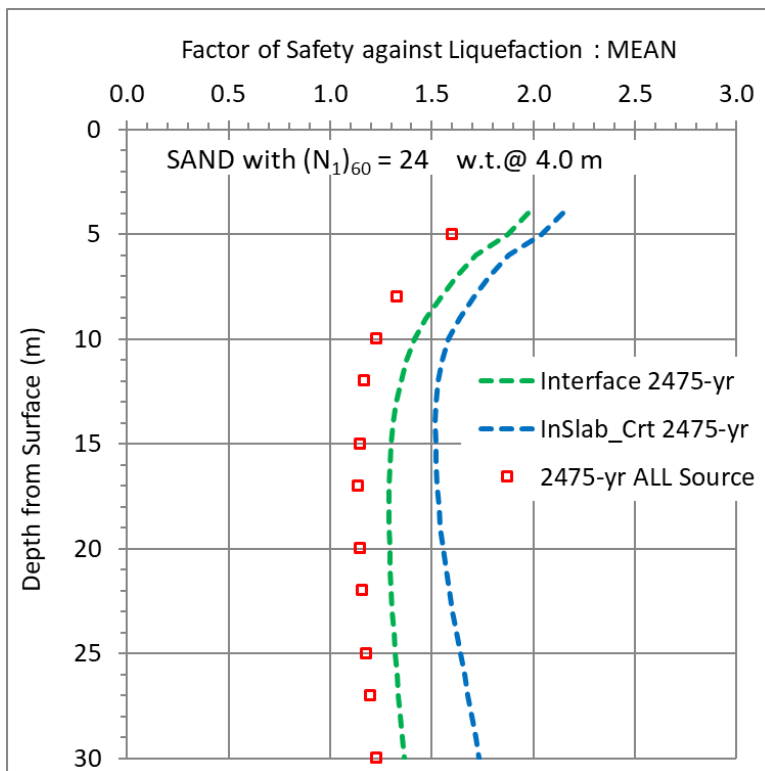
- Cyclic Shear Stress Model for Liquefaction
- Shear stress THs for Elem-30 at 29.5 m depth (2475-yr, InSlab/Crustal)



# Factors of Safety (FoS) against liquefaction Deterministic analysis assuming $N_{1.60}=24$

## Result 1/2475-yr (Wu 2017)

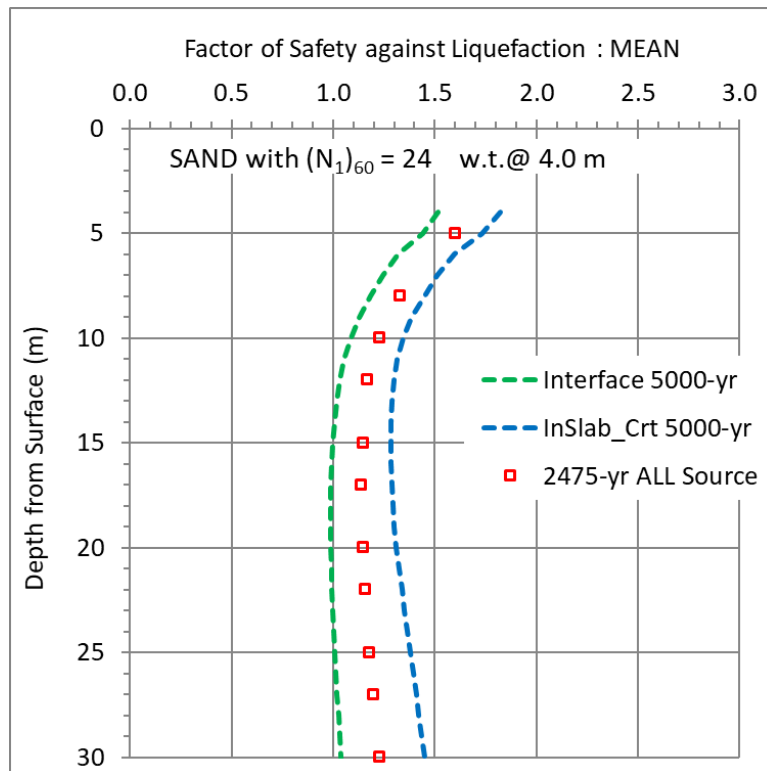
- using 11 EQ records for each EQ source
- Method B “all Cumulated” for 1/2475-yr all source



# Factors of Safety (FoS) against liquefaction Deterministic analysis assuming $N_{1.60}=24$

Result **1/5000-yr** (Wu 2017)

- using 11 EQ records for each EQ source
- Method B “all Cumulated” for 1/2475-yr all source

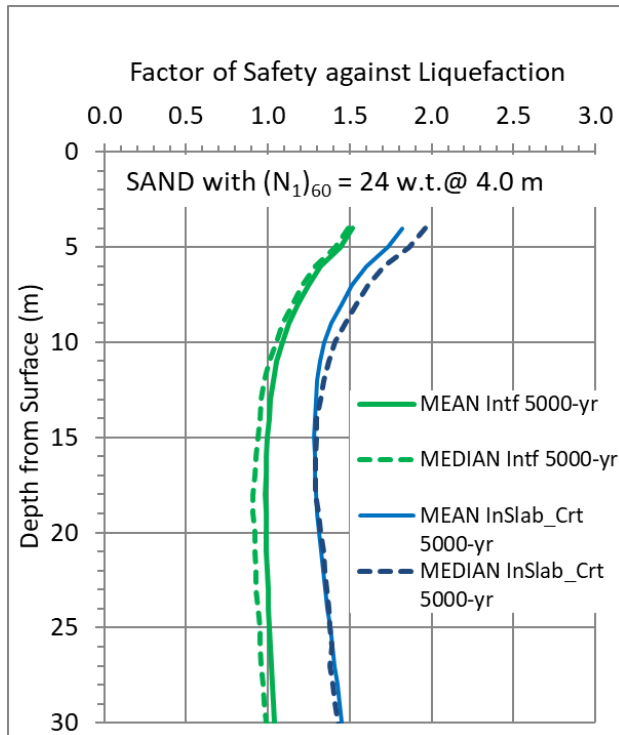


# Factors of Safety (FoS) against liquefaction

## Deterministic analysis assuming $N_{1.60}=24$

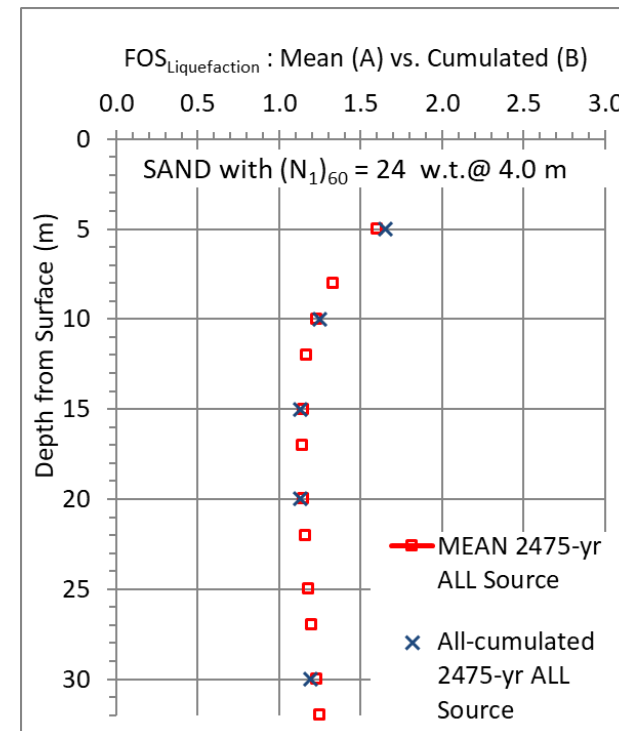
1/5000-yr: Mean vs. Median (Wu 2017)

- using 11 EQ records for each EQ source



Method A vs. Method B (Wu 2017)

- using 11 EQ records for each EQ source





# PSPA for displacements, $FS_{liq}$ , or any quantities Probability of 2%/50-yr

Probability  $P_{M9+M7}$

=  $P_{\sim M7} + 0$ ; where no contribution from M9 (in Calgary, etc)

=  $0 + P_{\sim M9}$ ; where no/little contribution from M7 (in Pacific Ocean)

=  $P_{\sim M7} + P_{\sim M9}$ ; In the lower Mainland, near half-half each

In the Lower Mainland for 1/2475-yr:

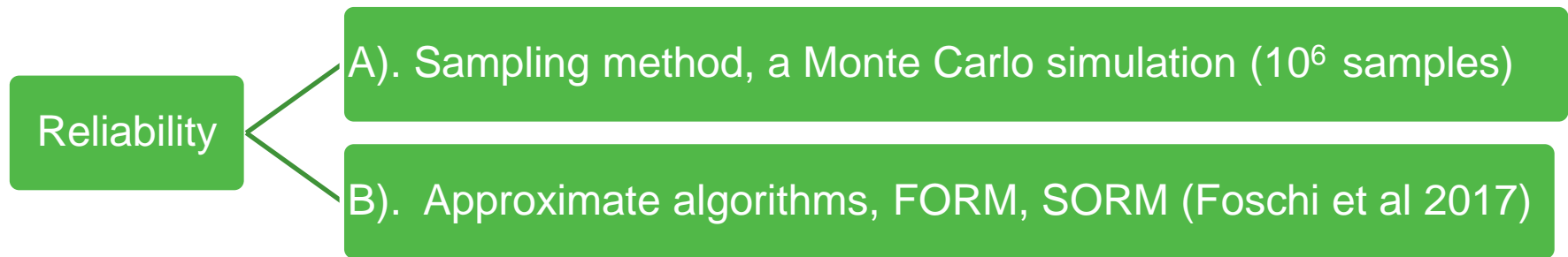
$1 \neq 1 + 1$  ;

$1 = 0.5 + 0.5$

Therefore, 1/5000-yr for each EQ source is a good bet to start.

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

Reliability of soil against liquefaction =  $1 - P_f$



A). Sampling method:  $P_f = \frac{N_f}{N}$

- A subject (or system) is comprised of one or many stochastic (or random) variables.
- Each variable (or each collection of variables) has its own statistical distribution.
- $N_f$  = number of failed samples
- $N$  = number of total sample;  $N = 3465$  samples in this study
- In this study, failed sample means:  $FS_{liq} \leq 1.0$  (i.e.,  $crr_{15} \leq csr_{15}$ )

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

Reliability of soil against liquefaction =  $1 - P_f$

Reliability

A). Sampling method, a Monte Carlo simulation ( $10^6$  samples)

B). Approximate algorithms, FORM, SORM (Foschi et al 2017)

**A).** continued...

- Sampling method:  $\beta = (\mu_{FS} - 1) / \sigma$
- where  $\mu_{FS}$  is the mean of subject samples (i.e., average of the 3465 samples)
- $\sigma$  is the standard deviation of the 3465 samples.

**B).** **First Order Reliability Method (FORM):** Reliability index ( $\beta$ ):  $P_f = \Phi(-\beta)$

where  $\Phi$  is the standard normal distribution function

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

- sandy layer from 5 to 12 m depth under 1/5000-yr non-Interface ground motions

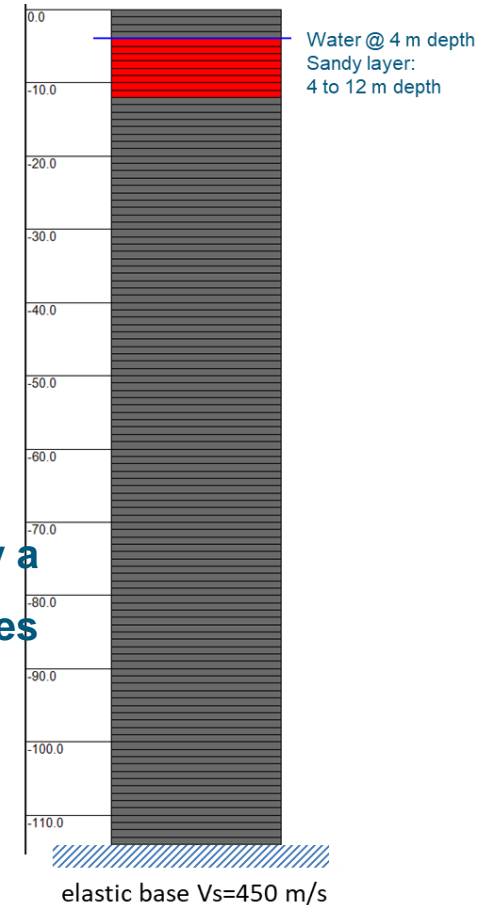
**Method B). FORM** (Foschi 2011; Foschi et al. 2017)

Performance function **G = Capacity – Load**

$$G = crr_{15}(N_{1\_60}, CRR_{15}, K_{\sigma}) - csr_{15}(V_s, \text{record}, \alpha)$$

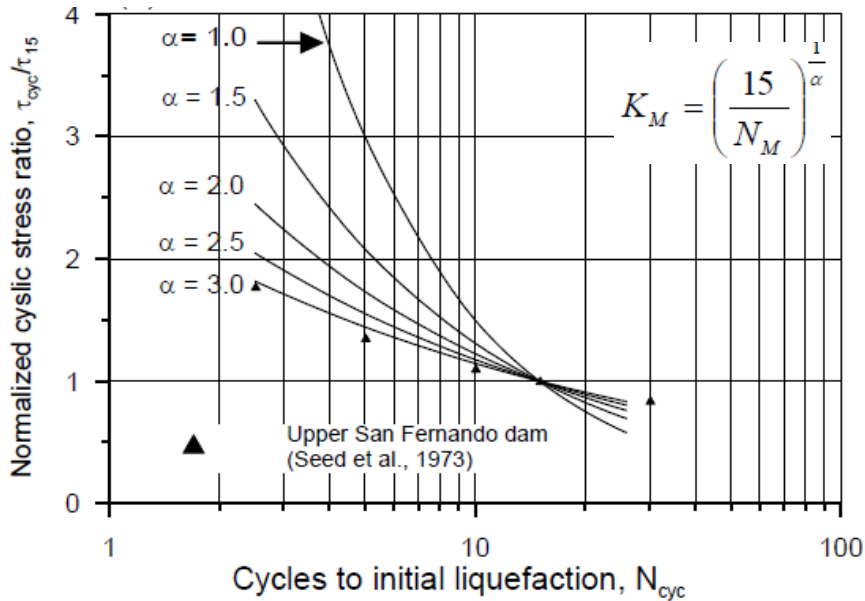
Where,

- $crr_{15}$  – cyclic shear stress ratio (over at-depth  $\sigma_v'$ ) to cause liquefaction in 15 cycles
- $csr_{15}$  – cyclic shear stress ratio (over at-depth  $\sigma_v'$ ) caused by a earthquake ground motion (the record), corrected to 15 cycles
- Stochastic variables: EQ record,  $\alpha$ ,  $N_{1\_60}$ ,  $CRR_{15}$
- Deterministic variables:  $V_s$ ,  $K_{\sigma}$
- Conventional  $FS_{liq} = crr_{15} / csr_{15}$



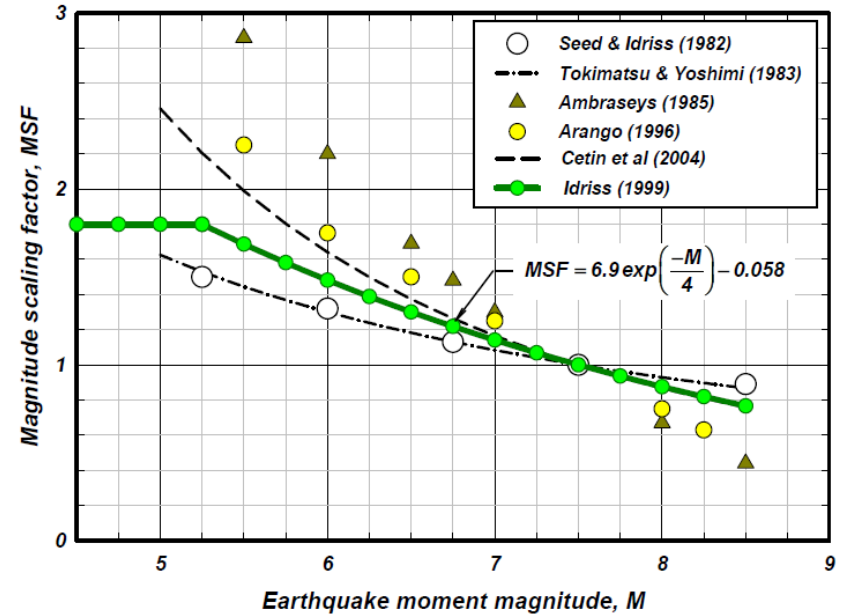
# LOAD side: Earthquake magnitude correction: $\alpha$ factor

Wu (2001) – used in VERSAT



Wu (2001) Can. Geotech. J. 38: 1–15

Idriss and Boulanger (2010)



$$MSF = \frac{CRR_M}{CRR_{M=7.5}} = \left( \frac{N_{M=7.5}}{N_M} \right)^b$$

- $CRR_M$  = CRR at a given magnitude
- $CRR_{M=7.5}$  = CRR at  $M=7.5$
- $N_{M=7.5}$  = number of uniform cycles for  $M = 7.5$
- $N_M$  = number of uniform cycles for a given magnitude
- $b$  = fitting parameter

# LOAD side: Earthquake ground motions

## 1). $K_M$ (or MSF) factors applied in probability analysis with unequal weight

	Fitting parameter	Cetin et al. 2004	Idriss 1999	Seed and Idriss, 1982
VERSAT-1D, 2D (Wu 2001)	$\alpha$	2.0	2.85	3.6
Idriss and Boulanger (2010)	b	0.5	0.35	0.28
Probability Weight ( $\Sigma=1$ )		0.3	0.4	0.3

Note: VERSAT  $\alpha = \frac{1}{b}$

## 2). 21 EQ records with an equal weight ( $\Sigma=1.0$ )

Record #	Short name	Probability Weight	Record #	Short name	Probability Weight	Record #	Short name	Probability Weight
1	c-Abbar	0.0476	8	c-LDM	0.0476	15	c-UCSC	0.0476
2	c-CHL	0.0476	9	c-PUL	0.0476	16	c-Yoita	0.0476
3	c-CPE	0.0476	10	c-SJTE	0.0476	17	s-Gigh	0.0476
4	c-Dayhook	0.0476	11	c-SSU	0.0476	18	s-Myg13	0.0476
5	c-IZT	0.0476	12	c-TAB	0.0476	19	s-Olym1949	0.0476
6	c-K-Nish	0.0476	13	c-TCU071	0.0476	20	s-Olym1965	0.0476
7	c-Lamont	0.0476	14	c-TCU129	0.0476	21	s-Olym2001	0.0476

Note: c - crustal EQ record, s - InSlab EQ record

# LOAD side input: 21 Ground Motion records scaled for 1/5000-yr Non-Interface spectra (PGA=0.523 g)

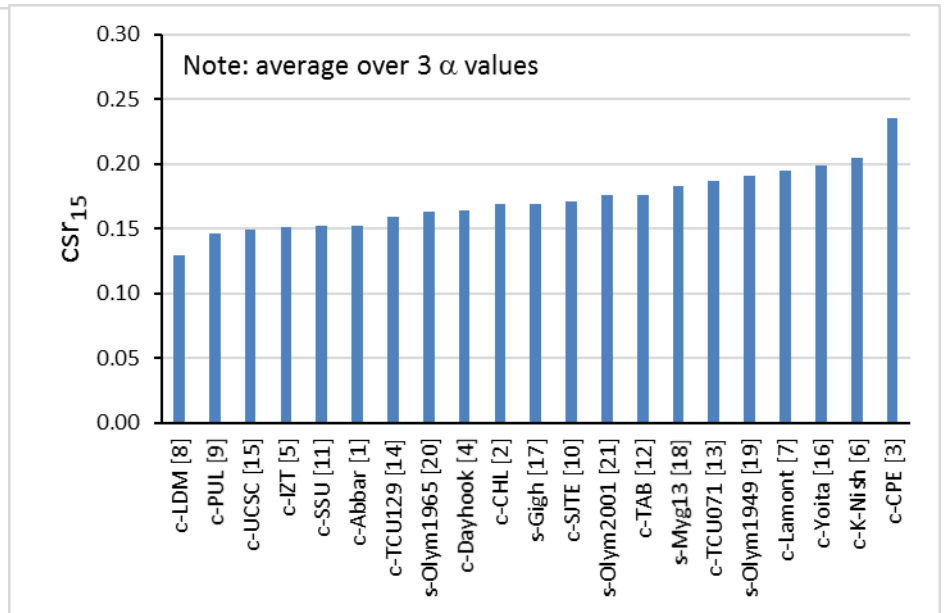
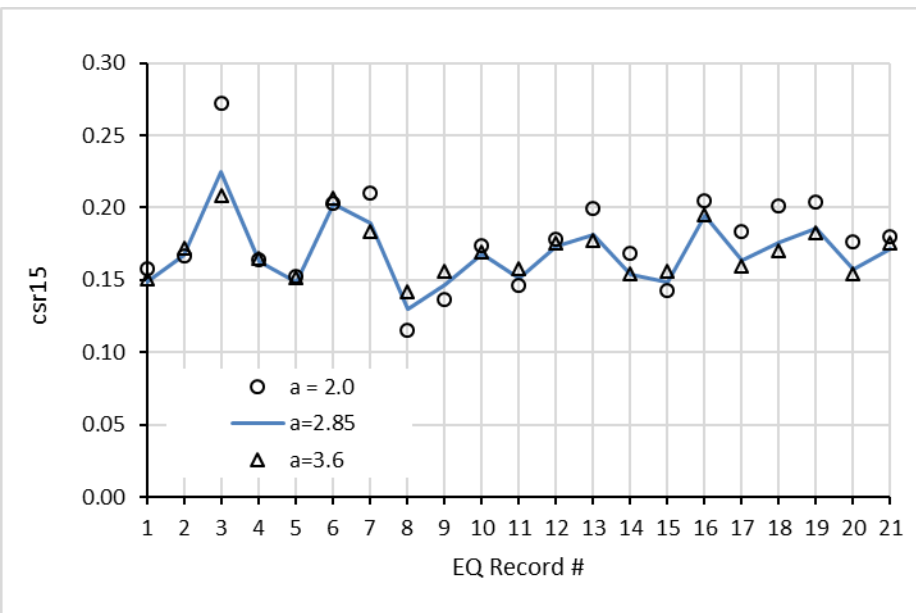
- 16 Crustal EQ records: AVG PGA =  $1.29 \times 0.434 = 0.560$  g
- 5 InSlab EQ Records: AVG PGA =  $1.29 \times 0.430 = 0.555$  g

Ground Motions Linearly Scaled for GSC (2015) 2475-yr InSlab/Crustal and Subduction Interface Spectra for R.B. Port - pt. 34101 (A scale factor of 1.29 is further applied to below table for the 5000-yr ground motions)

Set	Earthquake			Recording Station	N points	dt [sec]	Duration (sec)	PGA [g]	PGV [m/s]	PGD [m]	Arias Int. [m/s]	5%-95% [sec]
	Name	Date	Magnitude									
<b>Crustal Ground Motions</b>								<b>0.434</b>				
1	Manjil, Iran	6/20/1990	7.37	Abbar	2300	0.02	46.0	<b>0.391</b>	0.415	0.188	4.7	29.08
2	Northridge, CA	17-Jan-1994	6.69	CHL Chalon Rd	3107	0.01	31.1	<b>0.354</b>	0.315	0.060	1.7	9.0
3	Imperial Valley, CA	15-Oct-1979	6.5	CPE_Cerro Priet	6382	0.01	63.8	<b>0.364</b>	0.25	0.113	5.7	30.0
4	Tabas, Iran	16-Sep-1978	7.35	Dayhook	1050	0.02	21.0	<b>0.495</b>	0.343	0.228	3.4	11.34
5	Turkey, Kocaeli	17-Aug-1999	7.51	Izmit	3000	0.01	30.0	<b>0.342</b>	0.574	0.358	1.8	13.3
6	Chuetsu-oki, Japan	16-Jul-2007	6.8	K.Nishiyamacho	6000	0.01	60.0	<b>0.426</b>	0.368	0.065	2.1	11.19
7	Duzce, Turkey	12-Nov-1999	7.14	Lamont 531	4150	0.01	41.5	<b>0.312</b>	0.339	0.200	2.6	14.89
8	Northridge, CA	17-Jan-1994	6.69	LA Dam	2658	0.01	26.6	<b>0.317</b>	0.469	0.239	1.3	6.5
9	San Fernando, CA	24-May-1905	6.61	PUL Pacoima Da	4172	0.01	41.7	<b>0.620</b>	0.288	0.064	2.0	7.26
10	Loma Prieta, CA	18-Oct-1989	6.93	SJTE Santa Teres	4999	0.01	50.0	<b>0.479</b>	0.493	0.404	4.0	10.1
11	Northridge, CA	17-Jan-1994	6.69	SSU Santa Susan	5725	0.01	57.3	<b>0.373</b>	0.257	0.103	2.2	7.36
12	Iran, Tabas	16-Sep-1978	7.35	TABas	1650	0.02	33.0	<b>0.386</b>	0.447	0.174	2.4	16.5
13	Chi-Chi, Taiwan	20-Sep-1999	7.62	TCU071	5040	0.01	50.4	<b>0.323</b>	0.279	0.094	3.4	24.0
14	Chi-Chi, Taiwan	20-Sep-1999	7.62	TCU129	7798	0.01	78.0	<b>0.582</b>	0.364	0.365	3.1	27.34
15	Loma Prieta, CA	18-Oct-1989	6.93	UCSC	2501	0.01	25.0	<b>0.862</b>	0.281	0.049	7.1	8.58
16	Chuetsu-oki, Japan	16-Jul-2007	6.8	Yoitamachi Yoita	6000	0.01	60.0	<b>0.311</b>	0.337	0.077	2.3	15.79
<b>InSlab Ground Motions</b>								<b>0.430</b>				
17	Washington Nisqually	28-Feb-2001	6.8	Gig Harbour, Fire Station	9900	0.01	99.0	<b>0.348</b>	0.323	0.136	2.4	23.5
18	Japan MiyagiOki	16-Aug-2005	7.2	MYG013	7992	0.01	79.9	<b>0.575</b>	0.415	0.049	5.6	21.5
19	Western Washington	13-Apr-1949	6.9	Olympia_1949 Highway Lab	7532	0.01	75.3	<b>0.351</b>	0.385	0.126	3.1	19.2
20	Washington Puget Sou	29-Apr-1965	6.7	Olym1965 Highway Lab	6939	0.01	69.4	<b>0.519</b>	0.319	0.114	3.0	20.8
21	Washington, Nisqually	28-Feb-2001	6.8	Olym2001 Highway Lab	8294	0.01	82.9	<b>0.355</b>	0.296	0.065	1.9	16.5

# LOAD side output: $csr_{15}$ (11.5 m depth) results from VERSAT : 21 records x 3 = 63

- Record #3 – CPE gives the highest  $csr_{15}$  among the 21 records
- Record #8 – LA Dam gives the lowest  $csr_{15}$
- On average,  $\alpha = 2.0$  gives higher  $csr_{15}$  than  $\alpha = 2.85$  or  $3.6$





# LOAD side: $csr_{15}$ probability density function (PDF)

- $csr_{15}$  distribution fits well in a normal distribution
- At 11.5 m depth,  $csr_{15}$  normal distribution **line**:  $\mu_{csr15} = 0.1687$  and  $\sigma = 0.020$

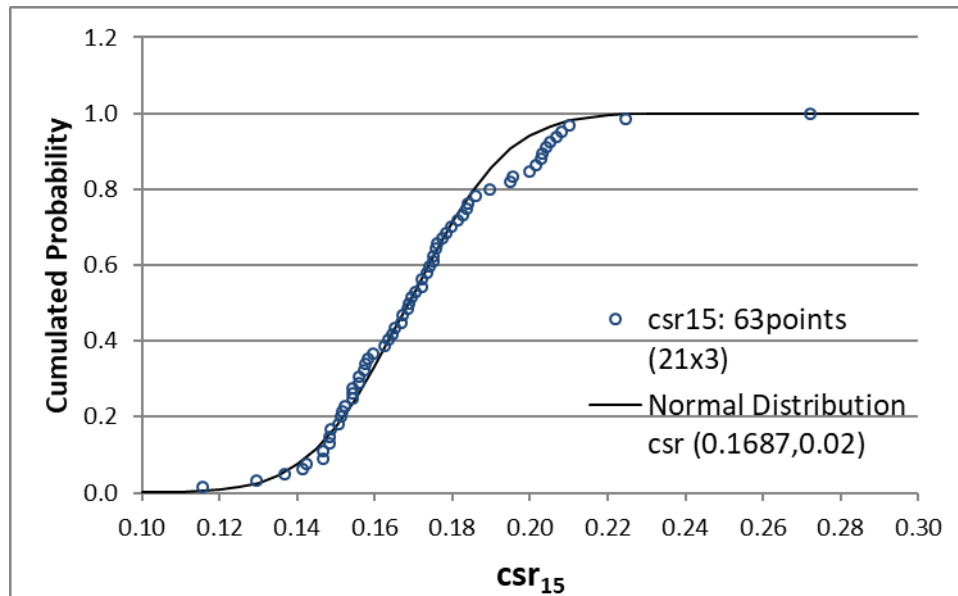


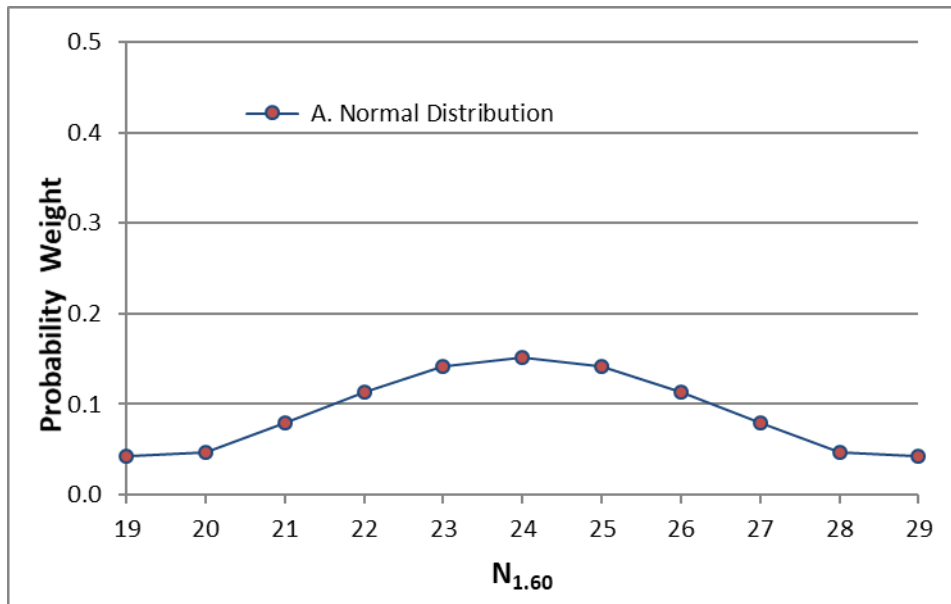
Figure A:  $csr_{15}$  Cumulative Probability Distribution Function (CDF)

# CAPACITY: $N_{1.60}$ data for sandy layer (4 to 12 m depth)

- $N_{1.60}$  normal distribution centered at  $N_{1.60} = 24$
- $N_{1.60}$  probability weight below (total  $\Sigma=1.0$ )

Disclaimer: Purely Assumed.  
Don't Use It in design works!

$N_{1.60}$	19	20	21	22	23	24	25	26	27	28	29	$\Sigma$
<b>A. Normal Distribution</b>	0.042	0.047	0.079	0.114	0.142	0.152	0.142	0.114	0.079	0.047	0.042	<u>1.00</u>

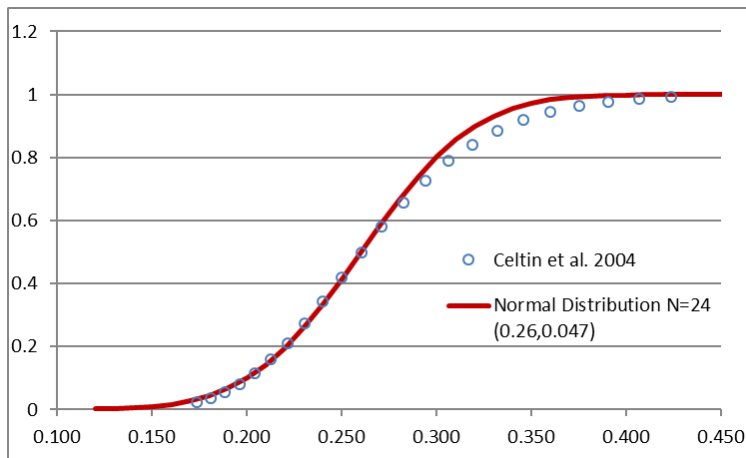


# CAPACITY: $crr_{15}$ for sandy layers: 4 to 12 m depth

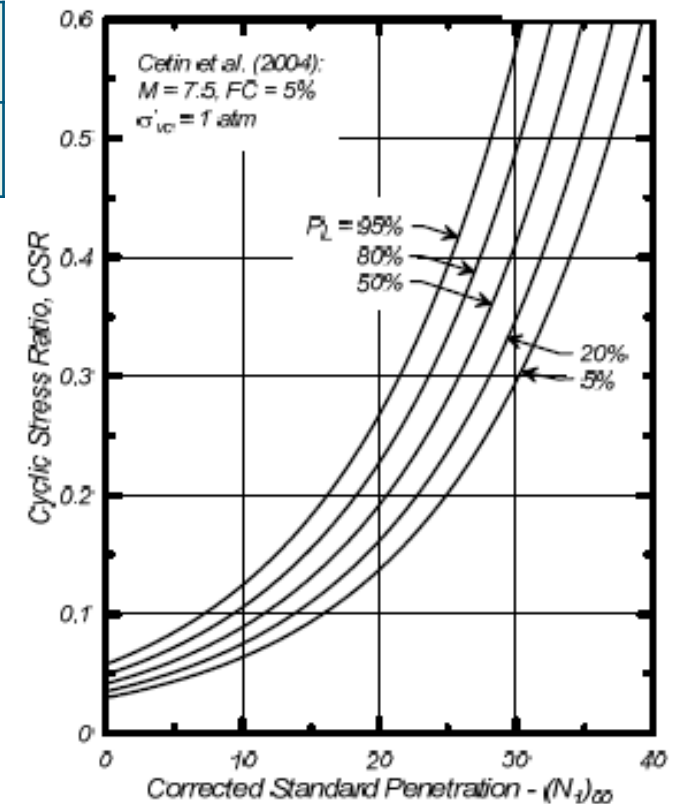
Disclaimer: Purely Assumed.  
Don't Use It in design works!

- For each  $N_{1.60}$ , applying Cetin et al (2004) probabilistic correlation of  $CRR_{15}$  with  $N_{1.60}$
- For  $N_{1.60} = 24$ ,  $CRR_{15}$  normal distribution is centered at 0.26 with  $\sigma = 0.047$

Cetin et al. (2004)	5%	20%	50%	80%	95%
Probability Weight	0.11	0.23	0.33	0.23	0.10



Note: use of Toprak et al (1999) would result in more scattered  $CRR_{15}$  distribution than Cetin et al (2004)



# CAPACITY: $crr_{15}$ probability density function (PDF)

- $crr_{15}$  distribution fits well in a normal distribution
- At 11.5 m depth,  $crr_{15}$  normal distribution **line**:  $\mu_{crr15} = 0.2395$  and  $\sigma = 0.066$  (**Set-A**)

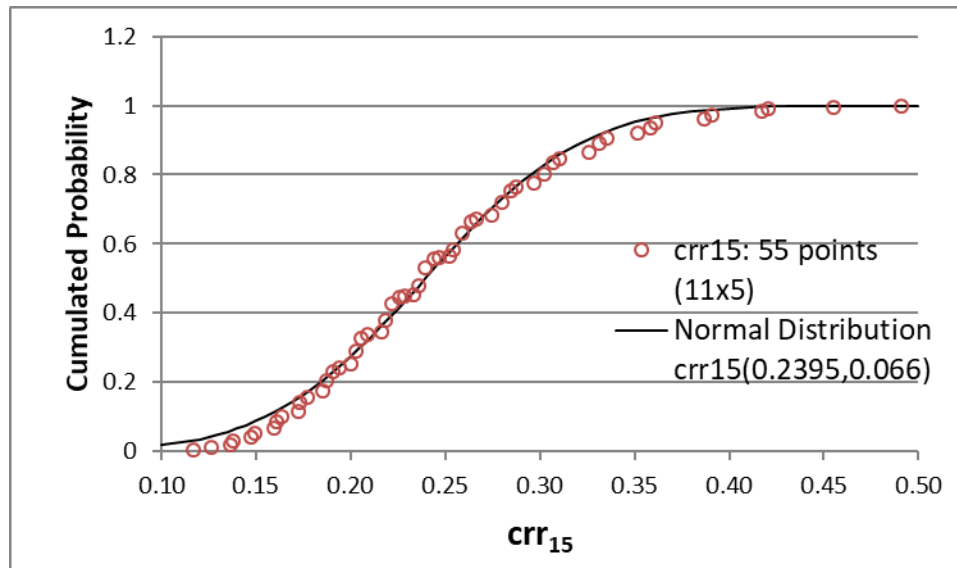


Figure B:  $crr_{15}$  Cumulative Probability Distribution Function (CDF)

# CAPACITY – Load:

## $crr_{15}$ – $csr_{15}$ Probability Density Function (PDF)

- $csr_{15}$  by using the 21 EQ records are less scattered (less deviation, low  $\sigma$ ) than  $crr_{15}$
- $crr_{15}$  for liquefaction resistance has more deviation and high  $\sigma$ ; curve is wider and flatter
- Both  $crr_{15}$  and  $csr_{15}$  can be characterized using a normal distribution

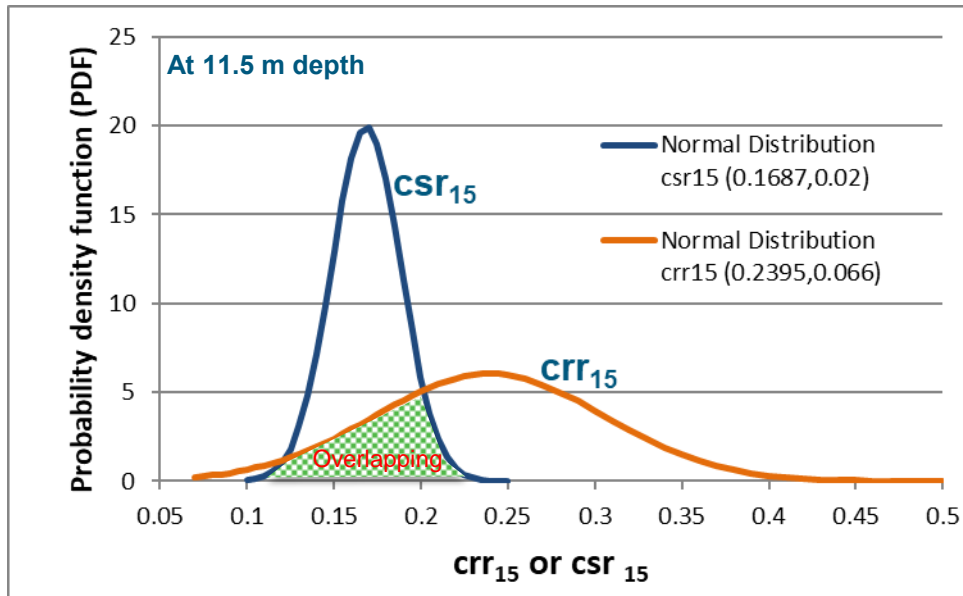


Figure C:  $crr_{15}$  and  $csr_{15}$  Probability Density Function (PDF)

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

Method B). First Order Reliability Method (FORM) (Rackwitz 1978; Foschi 2011; Foschi et al. 2017)

- using the Lines in Figure A and Figure B

$$\beta = \frac{\mu_{crr15} - \mu_{csr15}}{\sqrt{\sigma_{crr15}^2 + \sigma_{csr15}^2}}$$

Where at 11.5 m depth

- $\mu_{crr15}$  – w. mean (= median) of the  $crr_{15}$  distribution, 0.2395
- $\mu_{csr15}$  – w. mean (= median) of the  $csr_{15}$  distribution, 0.1687
- $\sigma_{crr15}$  – standard deviation of the  $crr_{15}$  distribution, 0.066
- $\sigma_{csr15}$  – standard deviation of the  $csr_{15}$  distribution, 0.020

i.e.

$$\sigma_G = 0.069$$

$$\beta = 1.026$$

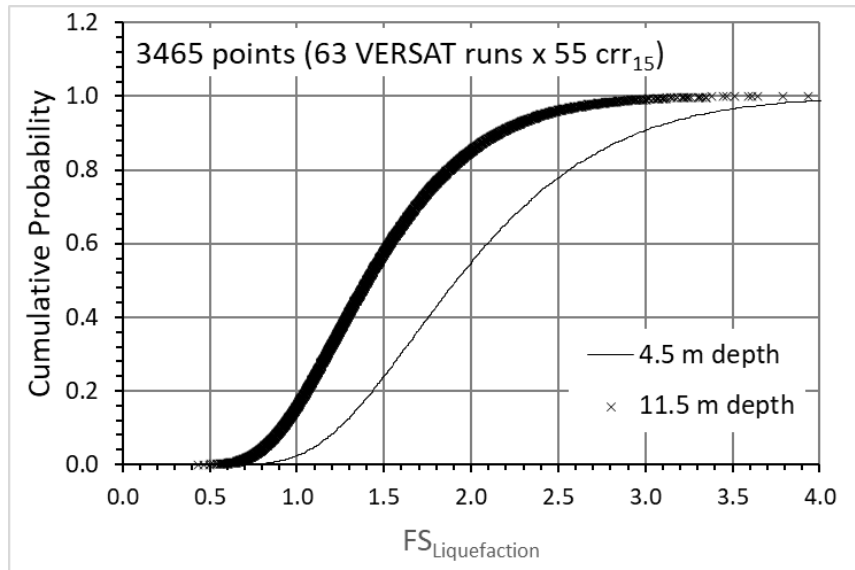
$$P_f = 0.152$$

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

## Method A). Sampling method

- using the data points in Figure A and Figure B

- No correlation between  $crr_{15}$  and  $csr_{15}$ : Coefficient of Variation **COV = 0**
- VERSAT-1D site response runs: 21 records x 3  $\alpha$  factors (63 samples of LOAD)
- Soil (4 to 12 m depth)  $N_{1,60}$  &  $CRR_{15}$  combinations: 11 x 5 (55 samples of CAPACITY)
- Total # of samples: 63 x 55 = 3465 (no need to run 3465 VERSAT analyses since COV=0)



Soil Depth	4.5 m	11.5 m
w. Median $FS_{liq}$	1.92	1.40
w. Mean, $\mu_{FS}$	2.03	1.48
S. deviation, $\sigma$	0.69	0.50
Reliability $\beta$	1.49	0.97
$P_f = \frac{N_f}{N}$	0.024	0.157

Note:  $\beta = (\mu_{FS} - 1) / \sigma$

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

## Deterministic Approach

for  $N_{1.60} = 24$  with  $CRR_{15} = 0.26$

Equation:  $30 / V_{s30} = \sum H(i) / V_s(i)$  see Table 

PGA at Class C site surface: 0.523g for 1/5000-yr Non-interface

PGA at (R.B. Port) Class E site surface:  $a_{max} = 0.387g$

At 11.5 m (7.5 m below water):  $\sigma_v' = 140.9$  kPa,  $\sigma_v = 214.5$  kPa

$$CSR_{M, \sigma_v'} = 0.65 \frac{\sigma_v}{\sigma_v'} \frac{a_{max}}{g} r_d$$

$csr_{15} = csr_{M7.5} = csr_{M7.0} / K_M$  (i.e., earthquake  $M=7.0$ ) 

$crr_{15} = CRR_{15} * K_\sigma$

Quantity	Assuming <b>Class E</b> site		VERSAT @ depth	
	11.5 m	4.5 m	11.5 m	4.5 m
$a_{max}$	0.387	0.387	-	-
$r_d$	0.835	0.954	-	-
$csr_M$	0.3198	0.2553	-	-
$csr_{15}$	0.2752	0.2197	0.1687 <sup>(1)</sup>	0.1342 <sup>(2)</sup>
$K_\sigma$	0.921	1.000	0.921	1.0
$crr_{15}$	0.2394	0.2600	0.2395	0.26
$FS_{liq}$	0.87	1.18	1.420	1.937

<sup>(1)</sup> w. Average = 0.1722

<sup>(2)</sup> w. Average = 0.1370

w. median used in Table

VERAST-1D model			
Depth	Vs (m/s)	H (m)	elape t (s)
0	130		
4	130	4	0.0308
12	150	8	0.0571
16	180	4	0.0242
21	186	5	0.0273
26	197	5	0.0261
31	208	5	0.0247
$V_{s30} =$	163	<b>31</b>	
<b>Site Class E</b>			
NBCC Table 4.1.8.4.H Fac(PGA) =			<b>0.74</b>

Method	Cetin et al., 2004	Idriss, 1999	Seed and Idriss, 1982
$K_M$	1.22	1.15	1.12
Weight	0.3	0.4	0.3
Weighted average, $K_M = 1.16$			

## Comparing results:

- Large difference  $FS_{liq} = 1.42$  by VERSAT
- $FS_{liq} = 0.87$  for **Class E** site. Why? Because
- this is a **Class F** site and requires dynamic analysis !

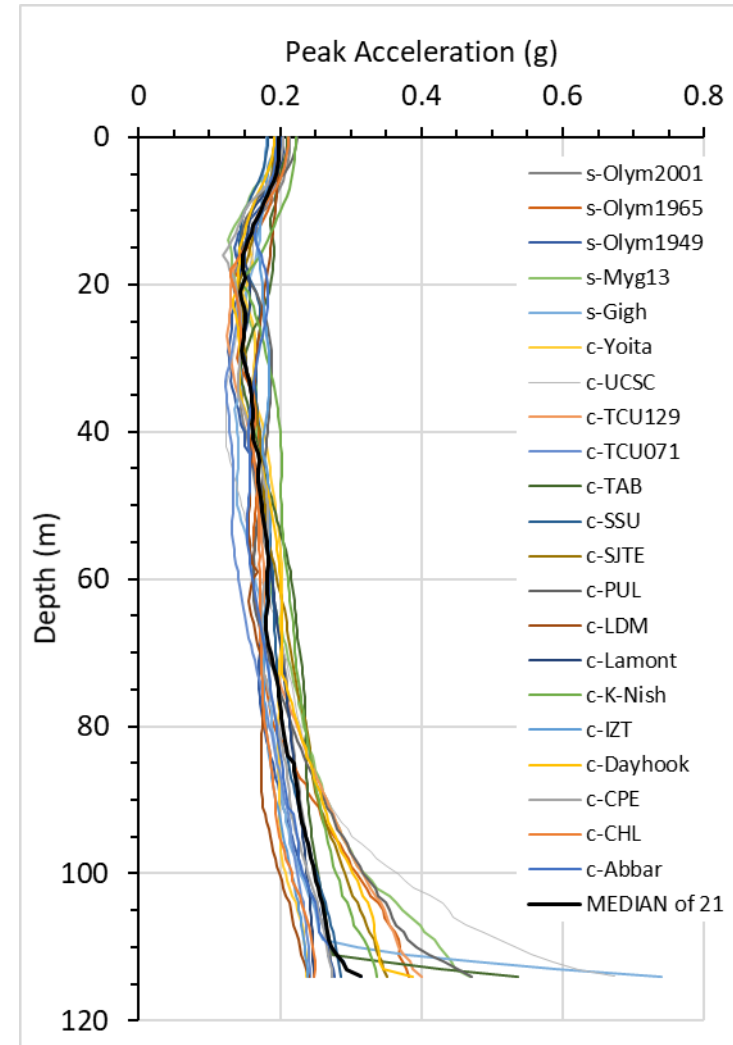
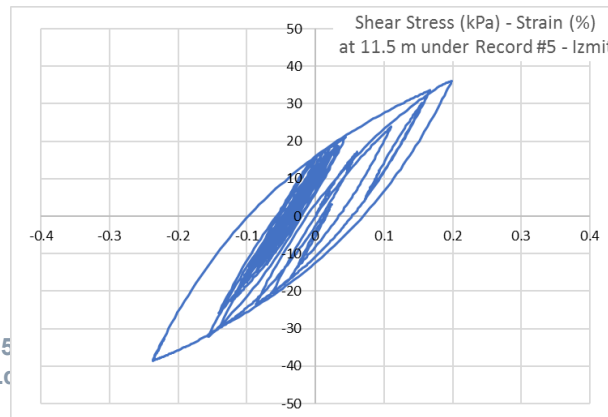
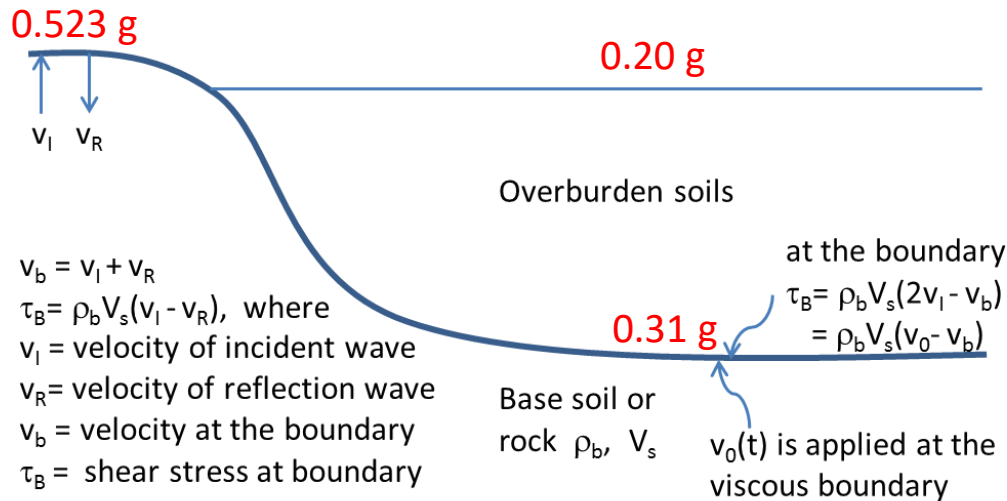


# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

## Class F Site:

- More than 30 m thick of soils with  $V_s < 360$  m/s
- At R.B. Port, there 106 m thick of soft to medium stiff soils

Velocity time history,  $v_0(t)$ , at outcrop of base soil or rock, then



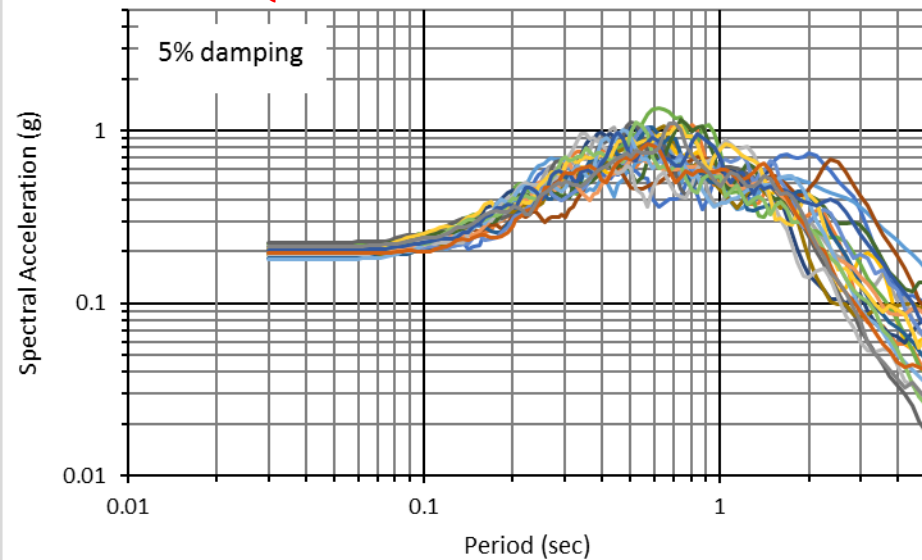
# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

Ground surface spectral accelerations from the 21 Records

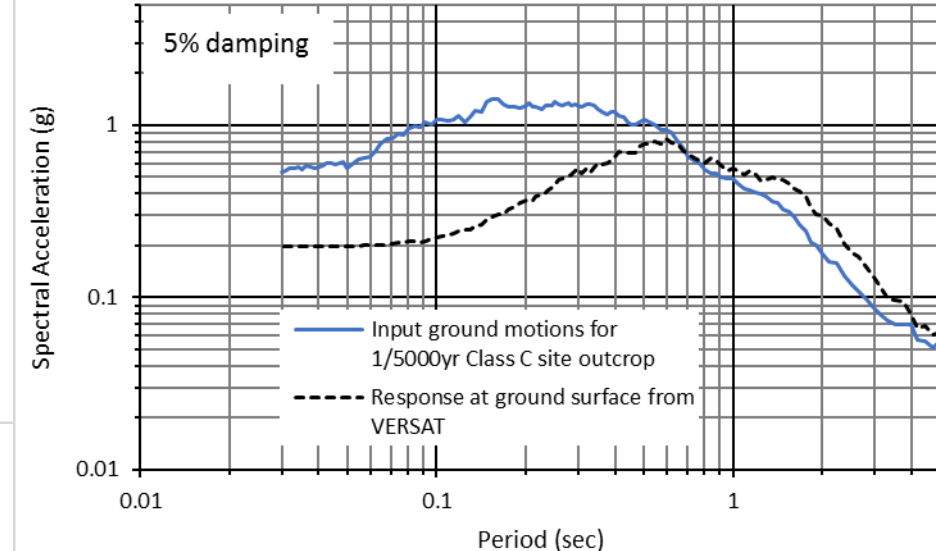
A large reduction in  $a_{\max}$  at a site, due to:

- Firm ground ( $V_s > 360$  m/s) encountered at a great depth, i.e., 114 m for RB Port site
- General soft soils with a long period,  $T_1 = 0.62$  sec

For 21 EQ records



Median of 21 records



# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

Soil depth at 11.5 m	VERSAT $N_{1.60}=24$	Reliability Method	
		Sampling	FORM
Median $FS_{liq} - G$	1.42	1.40	0.171 [1]
Mean, $\mu$	1.39	1.48	0.171
S. deviation <sup>[1]</sup> $\sigma$	na	0.5	0.069
Reliability $\beta$	na	0.97	1.026
$P_f = \frac{N_f}{N}$	na	0.157	-
$P_f = \Phi(-\beta)$	-	-	0.152

[1] Note:

- Reliability FORM result here is referenced to performance function  $G = crr_{15} - csr_{15}$  ( $G=0$  is equivalent to conventional  $FS_{liq}=1$ )

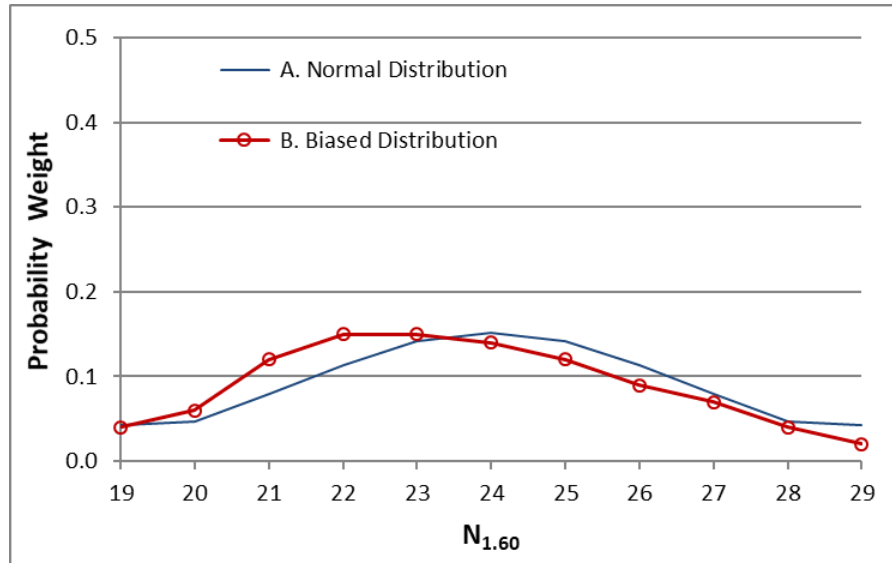
## Case A:

### Comparison of results by Methods

- $FS_{liq}$  Median 50th-%tile is more representative
- At 11.5 m depth,  $FS_{liq}=1.4$
- $P_f = 0.157$  by sampling method <sup>3465-points</sup> agreed with  $P_f = 0.152$  by FORM method

Soil depth at 4.5 m	VERSAT $N_{1.60}=24$	Reliability Method <sub>sampling</sub>
Median $FS_{liq}$	1.94	1.92
Mean $FS_{liq}$ , $\mu$	1.90	2.03
S. deviation <sup>[1]</sup> $\sigma$	na	0.69
Reliability $\beta$	na	1.49
$P_f = \frac{N_f}{N}$	na	0.024
$P_f = \Phi(-\beta)$	-	-

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )

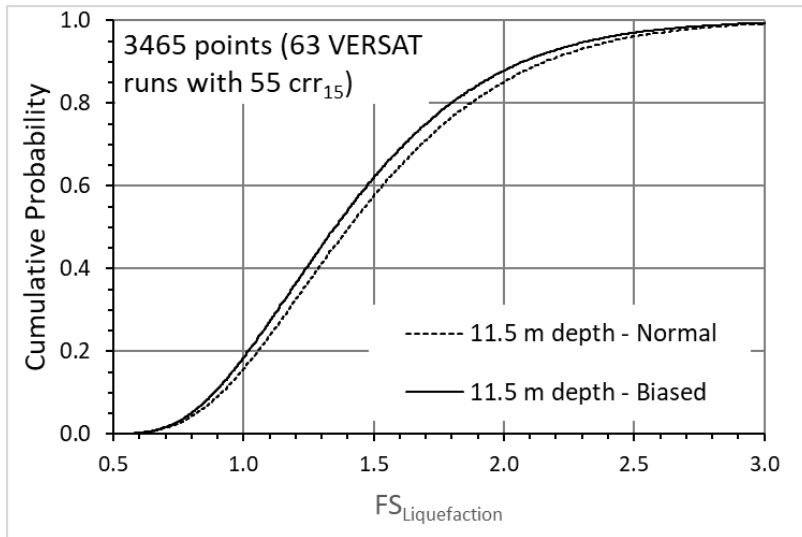


## Effect of $N_{1.60}$ data distribution

- **A-set:** Normal distribution, CDF = 28% for  $N_{1.60} \leq 22$
- **B-set:** Not normal; it has a biased distribution with CDF = 37% for  $N_{1.60} \leq 22$
- **Note:** For B-set,  $N_{1.60} = 22$  is often used in a deterministic analysis.

$N_{1.60}$	19	20	21	22	23	24	25	26	27	28	29	$\Sigma$
<b>A. Normal Distribution</b>	0.042	0.047	0.079	0.114	0.142	0.152	0.142	0.114	0.079	0.047	0.042	1.00
<b>B. Biased Distribution</b>	0.04	0.06	0.12	0.15	0.15	0.14	0.12	0.09	0.07	0.04	0.02	1.00

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )



Comparing results by  $N_{1.60}$  between **A-set** and **B-set**:

- There is a pronounced reduction in  $FS_{liq}$  from 1.42 to 1.20 by deterministic VERSAT method
- $N_{1.60}$  distribution has more impact on high  $FS_{liq}$  portion of the fragility curve; thus
  - $FS_{liq}$  reduces from 1.40 to 1.35
  - $P_f$  increases from 0.157 to 0.184

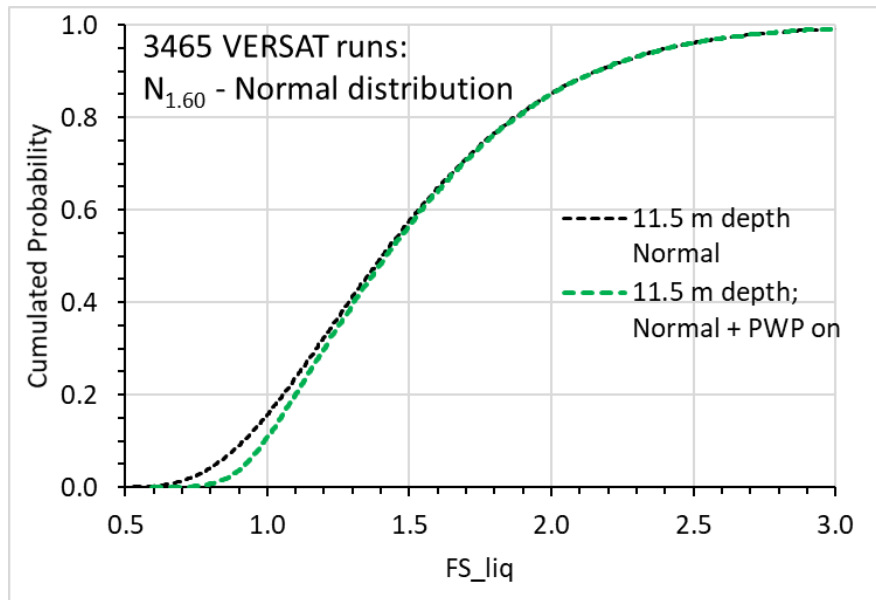
## A-set

Soil depth at 11.5 m	VERSAT $N_{1.60}=24$ N1.60 A-set	Reliability Method <sub>sampling</sub> N1.60 A-set
w. Median $FS_{liq}$	1.42	1.40
w. Mean, $\mu$	1.39	1.48
S. deviation <sup>[1]</sup> $\sigma$	na	0.50
Reliability $\beta$	na	0.97
$P_f = \frac{N_f}{N}$	na	0.157

## B-set

VERSAT $N_{1.60}=22$ N1.60 B-set	Reliability Method <sub>sampling</sub> N1.60 B-set
1.20	1.35
1.18	1.43
na	0.48
na	0.90
na	0.184

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )



Effective stress analysis including the effect of seismically induced pore water pressures on  $FS_{liq}$ :

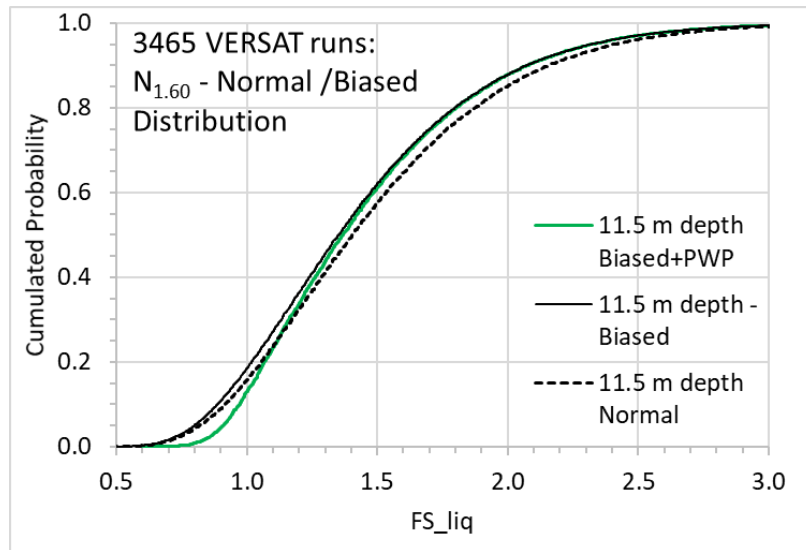
- COV  $\neq 0$  ( $crr_{15}$  are affected by  $csr_{15}$ )
- Required 3465 VERSAT dynamic analyses to generate the fragility curve
- 3465 runs completed in 2 days in a home PC
- Results compiled and plotted in 30 min using the Automation processor built in VERSAT

Effect of PWP on results  $N_{1.60}$  **A-set**

- Nearly no impact to high  $FS_{liq}$  (i.e., high  $N_{1.60}$ ) portion of the fragility curve
- Consistent with expectations: more impact at where EQ shear force is near or exceeds the liquefaction resistance, i.e.,
- PWP effect has greater impact on probability of liquefaction, less on median  $FS_{liq}$
- Effect of PWP has reduced probability of liquefaction  $P_f$  to 0.108 from 0.157

Soil depth at 11.5 m	Reliability Method <small>Method<sub>sampling</sub></small> <b>A-set</b>	Reliability Method <small>Method<sub>sampling</sub></small> <b>A-set &amp; PWP-on</b>
w. Median $FS_{liq}$	1.40	1.42
S. deviation <sup>[1]</sup> $\sigma$	0.5	0.47
Reliability $\beta$	0.97	1.08
$P_f = \frac{N_f}{N}$	0.157	0.108

# Probability of Liquefaction ( $P_f$ ), reliability index ( $\beta$ )



## Summary results at 11.5 m depth for $N_{1.60}$ B-set :

- For the more realistic  $N_{1.60}$  distribution (in B-set), probability of liquefaction  $P_f$  increases to 0.184 from 0.157 for the Norm Distribution (in A-set)
- However, effect of PWP has reduced probability of liquefaction  $P_f$  from 0.184 to 0.130
- Reliability based analysis with PWP indicated  $P_f^{5000\text{-yr}} = 0.130$ , i.e., annual  $P_{f\text{-liquefaction}}^{\text{Non-Intf.}} = 2.6 \times 10^{-5}$
- USACE (1999) criterion:
  - $P_f = 3.0 \times 10^{-5}$  for good performance
  - $P_f = 1.0 \times 10^{-3}$  for above average performance

Soil depth at 11.5 m	Median $FS_{liq}$	$P_f = \frac{N_f}{N}$	$N_{1.60}$ Data
Reliability Method <sub>sampling</sub>	1.40	0.157	A-set
+ PWP	1.42	0.108	
Deterministic: $N_{1.60} = 24$	1.42	-	
Reliability Method <sub>sampling</sub>	1.35	0.184	B-set
+ PWP	1.37	0.130	
Deterministic: $N_{1.60} = 22$	1.20	-	

What is the acceptable annual  $P_{f\text{-liquefaction}}$  is a subject for further research !

$P_{f\text{-liquefaction}}$  would be a more accurate (suitable) parameter for measuring the liquefaction potential than the conventional parameter  $FS_{liq}$

\* For  $FS_{Liq}$  changes from 1.35 to 1.37 (~ 1.5%),

$P_f$  reduces much more significantly to 0.130 by 42% !

## *Reliability-based dynamic analyses for seismic design optimization in British Columbia*

# Conclusion Remarks (1)

1. Use of the PSPA approach can reduce the epistemic uncertainties when dealing with seismic hazard including both M9 Interface and M7 non-Interface earthquake sources
  - \* Epistemic uncertainty (subjective uncertainty) characterizes the lack of knowledge, which is reducible uncertainty through increased understanding (research), or increased data, or through more relevant data; “human”, “belief”.
2. In the Lower Mainland: Don't use the UHS (such as Canada seismic hazard values from the [NRC website](#)) that include contributions from both the M7 and the M9 earthquake sources.
3. For the design 1/2475-yr ground motions: Derive the source specific spectra, i.e., the 1/5000-yr spectra for subduction Interface EQ (~M9) and the 1/5000-yr spectra for non-Interface EQ (~M7) – using OPENQUAKE
  - Don't only use 1/2475 spectra (Intf. and Non-Intf) for design . They are far less than the required EQ intensity.
4. Conduct analyses using ground motion records for the 1/5000-yr spectra (Intf. and Non-Intf.)
5. Do design using the higher demand from the two sets of results (Intf. Vs. Non-Intf). If necessary, conduct analyses for refinement using 1/2475-yr or 1/10,000-yr spectra (Intf. and Non-Intf.) (Wu 2018)



## Conclusion Remarks (2)

6. Probability-based dynamic analyses (such as soil liquefaction potential assessment) provide a more accurate or representative solution
7. The efforts required for the probability-based analyses are well manageable even for engineering design. The Automation processor built in VERSAT provides the tool.
8. More works are required to
  - Tie the probability of liquefaction ( $P_{f\text{-liquefaction}}$ ) with design requirement and hopefully built into a Design Guideline
  - $P_{f\text{-liquefaction}}$  for Subduction Interface earthquake sources are needed to calculate the total  $P_{f\text{-liquefaction}}$  for a site. The work presented in this study is the 1<sup>st</sup> part of a more comprehensive study
  - $P_{f\text{-liquefaction}}$  at other levels of ground motions are needed to produce a more complete fragility curve

## Reliability-based dynamic analyses for seismic design optimization in British Columbia

**Information:** <http://www.wutecgeo.com/versat-2d.aspx>

**PSPA for 1D liquefaction analysis, and more, OPEN and free for everyone**

**VERSAT-2D v.2019.10** *(new)* - *OPEN standalone version (max. 1500 elements) free for everyone*

Note: Microsoft .Net Framework 2.0 or higher would meet the operation system (OS) requirements to run VERSAT-2D; Otherwise, your computer OS needs to install them, [Download Microsoft .NET Framework Version 3.5 Now](#)

- [Free download VERSAT-2D OPEN 2019.10](#) - click
- This version is capable of conducting probabilistic seismic performance analysis (PSPA).

## Questions ?